

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80390

Tomoaki HIWATASHI, et al.

Appln. No.: 10/798,511

Group Art Unit: 1711

Confirmation No.: 6398

Examiner: Olga ASINOVSKY

Filed: March 12, 2004

For: COSMETIC POLYMER COMPOSITION AND COSMETIC

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

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INFORMATION DISCLOSURE STATEMENT
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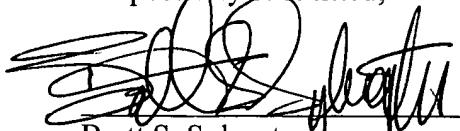
herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith copies of Communications from the Japanese Patent Office in the seven Japanese Patent Applications, Nos. 2001-277521, 2002-093943, 2002-145976, 2002-0154294, 2002-156777, 2002-0211360 and 2002-212443, to which the present U.S. application has claimed benefit of priority, citing such documents, together with English-language translations of the Notification of Reasons for Refusal made on the seven Japanese applications.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: January 29, 2007



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO				<i>Complete if Known</i>	
				Application Number	10/798,511
				Confirmation Number	6398
				Filing Date	March 12, 2004
				First Named Inventor	Tomoaki HIWATASHI
				Art Unit	1711
				Examiner Name	Olga ASINOVSKY
Sheet	1	of	1	Attorney Docket Number	Q80390

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	09-157339		06-17-1997	Mandamu:KK Wako Pure Chem. Ind. Ltd.	Abstract
		JP	2001-081018		03-27-2001	Osaka Organic Chem. Ind. Ltd.	Abstract
		JP	04-211605		08-03-1992	Nippon Unicar Co. Ltd.	Abstract
		WO	97/00663		01-09-1997		Abstract
		WO	98/53794		12-03-1998	The Procter & Gamble Company	
		JP	2001-288233		10-16-2001	Shiseido Co. Ltd.	Abstract
		WO	02/28357	A1	04-11-2002	Unilever	
		WO	02/28358	A1	04-11-2002	Unilever	
		JP	2004-510719		04-08-2004		
		JP	2004-510720		04-08-2004		
		JP	10-072323		03-17-1998	Mitsubishi Chem. Corp.	Abstract
		JP	10-087439		04-07-1998	Mitsubishi Chem. Corp.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.